

Role Profile

Position Title	Senior Risk Associate
Reports To	UK Risk Manager
FCA/PRA Approved Person Category(ies)	N/A
Date	March 2025

Overall role

<p>Risk Management</p> <p>To support the Risk team in ensuring an effective Risk Management Framework is in place at all times, across the UK entities, is operating as intended, and which complies with all regulatory requirements. To assist in applying ongoing enhancements to the Risk Management Framework, identifying and implementing process improvements.</p> <p>Risk Committee / Board Reporting</p> <p>Support the production of high quality risk reports to the Executive Committee (ExCo), UK Risk Committee and UK Boards through collation, assessment and reporting of risk information received from the business.</p> <p>Thematic and Deep dive reviews</p> <p>Support the performance of deep dives or thematic reviews across a particular risk area or topic and produce risk memorandums that are reported to senior stakeholders.</p> <p>Risk Management Processes</p> <ul style="list-style-type: none"> • Assist with the maintenance and enhancement of the risk and control registers and the Emerging Risks Framework. • Actively contribute to the Risk Event process <p>Risk and Control Self-Assessments (RCSAs)</p> <p>Participate and assist in the co-ordination / facilitation of RCSA including Quarterly Risk Reviews (QRRs) across various business areas and ensuring key risks, controls, Key Risk Indicators (KRIs) and risk events are effectively evaluated, challenged and documented in the Governance, Risk and Compliance (GRC) software.</p> <p>Own Risk and Solvency Assessments</p> <p>To support the Risk team in the production of annual and ad-hoc ORSAs as required.</p>
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Direct Reports

None

Internal Relationships

<ul style="list-style-type: none"> • Other RiverStone entities' risk team • Close liaison with all business operational areas in relation to all risk matters

External Relationships

- External Auditors
- Risk Register Vendors / Software supplier

Authority to Act for the Company

N/A

Conduct Rules

- You must act with integrity
- You must act with due care, skill and diligence
- Except in relation to whistleblowing, you must be open and cooperative with the FCA, the PRA and other regulators in line with procedures agreed with your line manager
- You must pay due regard to the interests of customers and treat them fairly
- You must observe proper standards of market conduct where applicable

Conduct Standards

All employees are expected to abide by the RiverStone Code of Conduct

Competence – desirable experience

- Relevant Risk Management Experience
- Relevant experience working in a regulated entity
- Insurance / legacy insurance experience would be ideal but not required

Knowledge

- Familiar with Lloyd's rules and principles
- Knowledge of PRA/FCA regulations applicable to our business
- Good knowledge of Governance and risk management theory

Skills

- Clear, concise and accurate work
- Ability to work under own direction to agreed plan
- Self-motivated with the ability to multi-task deliverables
- Eager to learn
- Good administrative and IT skills
- Team player, good interpersonal skills
- Application of internal control principles and risk management